

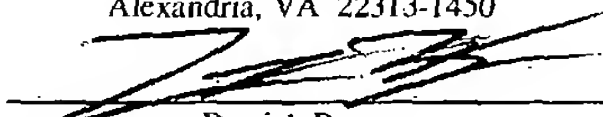
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

21908 U.S. PTO
10/618828
07/14/03

Atty. Dkt. No: 5681-15100
Inventor(s): Ajay Kumar Bala Dutt Venugopal Rao K Sankara R. Bhogi Srinivasan Kannan
Title: TRANSACTION MANAGER FREEZING

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CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10
"Express Mail" mailing label number: EV 318246838 US DATE OF DEPOSIT: July 14, 2003
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents M/S Patent Application P.O. Box 1450 Alexandria, VA 22313-1450  Derrick Brown

Assignee: Sun Microsystems, Inc. 4150 Network Circle Santa Clara, CA 95054 U.S.A.
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Application Elements

1. ☒ Filing Fee

The filing fee is calculated as shown below.

Total Claims	36	-20=	16	x \$18.00=	\$288.00
Independent Claims	4	-3 =	1	x \$84.00=	\$84.00
Multiple Dependent Claims				Fee:	\$0.00
				Basic Fee:	\$750.00
				Assignment Fee	\$40.00
				Sub-Total:	\$1,162.00
				Reduction by 50% for Small Entity:	\$0.00
				Total:	\$1,162.00

- ☒ Fee Authorizations for fees are enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C., Deposit Account No. 501505/5681-15100/RCK.

One duplicate copy of this form is enclosed.

2. ☒ Specification

22 page(s) of specification; 6 page(s) of claims, 1 page(s) of abstract

3. ☒ Drawings

Formal Figure(s) 1-10 on 10 sheet(s)

4. ☒ Oath or Declaration

☒ Newly executed

☐ Copy from a prior application (see 37 C.F.R. § 1.63(d)).

Deletion of Inventor(s) (in continuation or divisional applications):

☐ Delete the following inventor(s) named in the prior non-provisional application:

☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. ☐ The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

☐ Computer Readable copy

☐ Paper Copy (identical to computer copy)

☐ Statement verifying identity of above copies

8. ☒ Assignment Papers

9. Power of Attorney

☒ Is attached.

☐ The power of attorney appears in the original papers of the prior application.

☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

10. ☐ Information Disclosure Statement (IDS)

☐ Copies of IDS Citations

11. Amendments

☐ A preliminary amendment is enclosed.

☐ Cancel in this application claim(s) _____ before calculating the filing fee. At least one independent claim is retained for filing purposes.

☐ Amend the specification by inserting before the first line the sentence: _____.

12. ☒ Return Receipt Postcard

13. Small Entity Status

☐ A small entity statement is enclosed.

☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.

☐ Is no longer claimed.

14. ☐ Priority of foreign application number _____, filed on _____ in _____ is claimed under 35 U.S.C. §§ 119(a)-(d)

15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time

16. ☐ Other:

Address all future correspondence to:



Robert C. Kowert
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.
P.O. Box 398
Austin, Texas 78767
Phone: (512) 853-8800 Fax: (512) 853-8801

Signature

Name

Registration No.

Date

Robert C. Kowert

39,255

July 14, 2003

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PATENT
(5681-15100/P7636)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: Unknown
Filed: Herewith
Inventor(s):
Ajay Kumar
Bala Dutt
Venugopal Rao K
Sankara R. Bhogi
Srinivasan Kannan

Title: **TRANSACTION
MANAGER FREEZING**

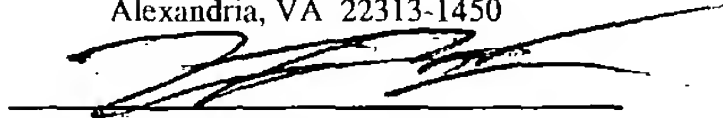
Examiner: Unknown
Group/Art Unit: Unknown
Atty. Dkt. No: 5681-15100

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Commissioner for Patents
M/S Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450


Derrick Brown

FEE AUTHORIZATION

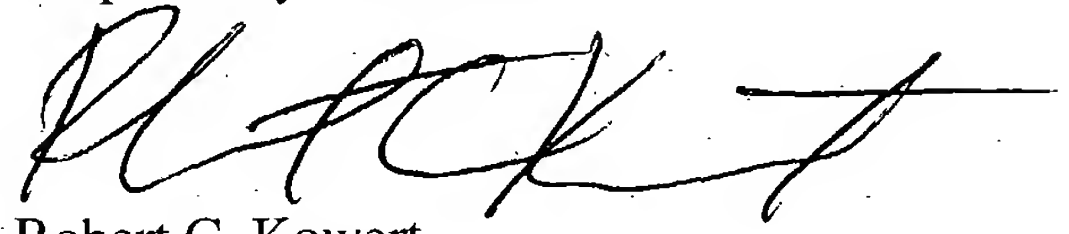
Commissioner for Patents
M/S Patent Application
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Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons,
Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 501505/5681-
15100/RCK:

Fee: Filing Fee Amount: \$750.00
Fee: Excess Claims Amount: \$372.00

Attorney Docket No.: 5681-15100
Attorney Docket No.: 5681-15100

Respectfully submitted,



Robert C. Kowert
Reg. No. 39,255

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Austin, TX 78767-0398
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Date: July 14, 2003